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Health Education and
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Health Education and Improvement Wales

INTERNAL AUDIT PROGRESS REPORT

Audit and Assurance Committee - March 2019

NHS Wales Shared Services Partnership

Audit and Assurance Services

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Appendix A: Table 1 - Status of 2018/19 assignments

Please note:

This audit progress report has been prepared for internal use only. Audit & Assurance Services reports are prepared, in accordance with the Internal Audit Charter and the Annual Plan, approved by the Audit and Assurance Committee.

Audit reports are prepared by the staff of the NHS Wales Shared Services Partnership – Audit and Assurance Services, and addressed to Independent Members or officers including those designated as Accountable Officer. They are prepared for the sole use of the Health Education and Improvement Wales and no responsibility is taken by the Audit and Assurance Services Internal Auditors to any director or officer in their individual capacity, or to any third party.

1. Introduction

- 1.1. This progress report provides the Audit and Assurance Committee (the 'committee') with the current position regarding the work undertaken by Internal Audit as at 20 March 2019.
- 1.2. The report includes details of the progress made to date against individual assignments along with details regarding the delivery of the 2018/19, and any required updates.

2. Outcomes from completed audit reviews

- 2.1 Since the February meeting of the committee two assignments have been finalised. The full version of these reports are included in the committee's papers as a separate item.

Assignments 2018/19	Assurance rating
Core financial systems – budgetary control	Reasonable
Governance arrangements	Substantial

3. Delivery of 2018/19 Internal Audit plan

- 3.1 The detail of the scheduling and current progress of the audit work is outlined in the assignment status schedule, which is included at Appendix A, table 1.
- 3.2 The schedule includes the planned timing of the audits. These dates may be subject to change as the audit work progresses, and any alterations will be communicated to the committee via future progress reports.

4. Internal Audit plan for 2019/20

- 4.1 The plan for the 2019/20 programme of work is on the agenda for the committee.

Table 1: Status of 2018/19 assignments to be reported in March Audit and Assurance Committee or later

Assignment	Days in plan	Status	Assurance	Notes
Core financial systems – financial accounting	15	Final	Reasonable	-
Core financial systems – budgetary control		Final	Reasonable	-
Governance arrangements	10	Final	-	-
Corporate transitional plan	15	Drafting	-	Fieldwork completed.
Risk management	5	Work in progress	-	-
IT arrangements	2	Scoped	N/A	Baseline review to help inform our understanding of IT functions within HEIW.
Performance management	5	Scoped	-	-
Workforce	15	Scoped	-	-